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TRANSMITTAL LETTER TO THE UNTIED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER **KOIKE-01400**

U.S. APPLICATION NO. (If known, see

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING

PCT/JP04/014372

At Same

DATE 30 September 2004 PRIORITY DATE CLAIMED

30 SEPTEMBER 2003

TITLE OF INVENTION

ILLUMINATION DEVICE

APPLICANT(S) FOR DO/EO/US

Toshimi Hirata

CERTIFICATION UNDER 37 CFR § 1.10

I hereby certify that this New Application and the documents referred to as enclosed herein are being deposited with the United States Postal Service on this date, March 30, 2006, in an envelope bearing "Express Mail Post Office To Addressee" Mailing Label Number EV811945621US addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Nicole Walsh (Name of Person Mailing Paper)

Applicant herewith submits to the Untied Sates Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. X This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
- This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.
- 3. X This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4. X The US has been elected (Article 31).
- A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. X is attached hereto (required only if not communicated by the International Bureau)
 - has been communicated by the International Bureau.
 - is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. X An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. X is attached hereto.
 - has been previously submitted under 35 U.S.C. 154(d)(4).
- Amendments to the claims of the International Application under PCT Article 19 (35 U.S. C. 371(c)(3))
 - are attached hereto (required only if not communicated by the International Bureau).
 - have been communicated by the International Bureau. b. __
 - have not been made, however, the time limit for making such amendments has NOT expired.
 - have not been made and will not be made.
- An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. X An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) combined with Power of Attorney (Unexecuted)
- An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 10. ___ 36 (35 U.S.C. 371(c)(5)).

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Items 11 to 20 below concern document(s) or information 120 REC' de CTRTO 30 MAR 2006

- 11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98, including Form 1449 and ten (10) references.
- 12. _ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. __ A preliminary amendment.
- 14. __ An Application Data Sheet under 37 CFR 1.76.
- 15. __ A substitute specification.
- 16. X A power of attorney combined with Declaration (Unexecuted)
- 17. ___ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 -1.825.
- 18. ___ A second copy of the published International Application under 35 U.S.C. 154(d)(4)
- 19. ___ A second copy of the English language translation of the International Application Under 35 U.S.C. 154(d)(4).
- 20. __ Other items or information:

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| U.S. APPLICATION NO. (if known, see 37 CFR 16 / 5 7 / 16 10 0 PCT/JP04/014372 | | | | ATTORNEY'S DOCKET NUMBER KOIKE-01400 | |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|--------------|------------|-----------------------------------------|----|
| 21. X Basic national fee | | | | CALCULATIONS PTO USE ONLY \$300.00 | |
| If International preliminary examination report prepared by the USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) | | | | | |
| 23. X Search Fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Search Authority. \$100 International Search Report prepared and provided to the Office. \$400 All other situations. \$500 | | | | \$400.00 | |
| Total of 21, 22 and 23 = | | | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | \$900.00 | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | |
| Total Claims | 10 - 20 = | 0 | X \$50.00 | \$ 0.00 | |
| Independent claims | 1 - 3 = | 0 | X \$200.00 | \$ 0.00 | |
| MULTIPLE DEPENDENT CLAIMS (S) (if applicable) + 290 | | | | \$.00 | |
| . TOTAL OF ABOVE CALCULATIONS = | | | | \$900.00 | |
| Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2 | | | | \$ | |
| SUBTOTAL = | | | | \$900.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | \$.00 | |
| TOTAL NATIONAL FEE = | | | | \$ 900.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40.00 per property | | | | \$.00 | |
| TOTAL FEES ENCLOSED = | | | | \$ 900.00 | |
| | | | | Amount to be refunded | s |
| | | | | Amount to be charged | \$ |

Approve (for use introduct) 3/31/2007. (OMI 0554-002)

a. X A check in the amount of \$ 900.00 to cover the above fees is enclosed.

b. Please charge my Deposit Account No. 08-1275 in the amount of \$ 10 cover the above fees. A duplicate copy of this sheet is enclosed.

c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No.08-1275. A duplicate copy of this sheet is enclosed.

d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

HAVERSTOCK & OWENS LLP 162 North Wolfe Road Sunnyvale, CA 94088

Customer No.: 28960

Jonathan O. Owens

Reg. No.: 37,902